07-31-9

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			Application Number	09/685,830
IKA	NSMITTA	L	Filing Date	October 9, 2000
	FORM		First Named Inventor	Alexander Gaiger
TPE			Group Art Unit	1644
Silvo be use	ed for all corresponde after initial filing)	nce	Examiner Name	Ronald B. Schwadron
	itter iriittar iiirig)		Attorney Docket No.	210121.465C3
. 6 The second second			7 Morrioy Booker 110.	2701211.10000
	E	NCLOS	URES (check all that app	oly)
X Fee / Amendme After Affida Extension Express / Request Information Statemen Cited Ref Documen Response under 37 Response	avits/declaration(s) of Time Request Abandonment on Disclosure t; Form PTO-1449 erences Copy of Priority	(fc Dir Re Re Re Pe Pe Re Co Co St St Sr Sr Sr Sr Sr Sr	essignment Papers or an Application) rawing(s) equest for Corrected Filing eccipt censing-related Papers etition etition to Convert to a rovisional Application ower of Attorney, evocation, Change of orrespondence Address eclaration eatement under 37 CFR 73(b) erminal Disclaimer mall Entity Statement equest for Refund	CD(s), Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Additional Enclosure(s) (please identify below): Copy of Assignment Document
Remarks				
Individual Na	SIGNATURE OF A Individual Name Julie A. Urvater, Ph.D		D., Reg. No. 50,461	OR AGENT 00500 PATENT TRADEMARK OFFICE
Signature	Signature (- Lulle)		INTEL	
Date	Date July 29, 2002			
	/			
with sufficient	fy that this correspond postage as first cland. D.C. 20231 on the contract of	ndence iss mail	in an envelope addressed	e United States Postal Service to: Commissioner for Patents,
Typed or prin				
Signature				Date:

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FEE TRANSMATAL FOR FY 2002

Patent fees are subject to annual revision.

		Complete if Known	
	Application Number	09/685,830	
	Filing Date	October 9, 2000	
	First Named Inventor	Alexander Gaig r	~~
	Examiner Name	Ronald B. Schwadron	111
	Group Art Unit	1644	7
	Attorney Docket No.	210121.465C3	<u> </u>
ľ		FEE CALCULATION (continued)	

TOTAL AMOUNT OF PAYMENT (\$) 460	Attorney [Oocket No	. 2	10121
METHOD OF PAYMENT				FEE CAL
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Deposit Account 19-1090	127	50	227	25 S
Number	139	130	139	130 N
Deposit Account Name Seed Intellectual Property Law Group PLLC	147	2,520	147	2,520 F
The Commissioner is authorized to (check all that apply)	112	920*	112	920* F
Charge fee(s) indicated below	113	1,840*	113	1,840* F
	115	110	215	55 E
Charge fee(s) indicated below, except for the filing fee Charge any deficiencies	116	400	216	200 E
to the above-identified deposit account.	117	920	217	460 E
Applicant claims small entity status. See 37 CFR 1.27.	118	1,440	218	720 E
FEE CALCULATION	128	1,960	228	980 E
	119	320	219	160 1
BASIC FILING FEE Large Entity Small Entity	120	320	220	160 F
Fee Fee(\$) Fee Fee(\$) Fee Description Fee	121	280	221	140 F
Code Code Paid 101 740 201 370 Utility filing fee	138	1,510	138	1,510 F
106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee	140	110	240	55 F
107 510 207 255 Plant filing fee 108 740 208 370 Reissue filing fee	141	1,280	241	640 F
114 160 214 80 Provisional filing	142	1,280	242	640 l
fee	143	460	243	230 [
SUBTOTAL (1) (\$) 0	144	620	244	310 F
2. EXTRA CLAIM FEES	122	130	122	130 F
Fee Extra from Fee Claims below Paid_	123	50	123	50
Total Claims * = = = = = = = = = = = = = = = = = =	126	180	126	180
Independent Claims -** =	581	40	581	40 j
Multiple Dependent Large Entity Small Entity	146	740	246	370 I
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Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	179	740	279	370
102 84 202 42 Independent claims in excess of 3	''	740	213	3/0
104 280 204 140 Multiple dependent claim, if not paid	169	900	169	900
109 84 209 42 ** Reissue independent claims over original patent	0.5	no force!£	۸	(
Other fee (specify) 110 18 210 9 *** Reissue claims in excess of 20 and over original patent				
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3. ADDI1	FIONAL F	FEES Sma	all		•
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Code	(\$)	Code	(\$)	Fee Description	Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	460
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	<u> </u>
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive – unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370		
179	740	279	370		
169	900	169	900	Request for expedited examination of a design application	
Other fee	e (specify	ı)			
1	•				
1					

	SUBMITTED BY			
Name (Print/Type)	Julie A. Urvater, Ph.D.	Registra Attorne	ation No. y/Agent)	50,461
Firm Name/ Address				
Signature	(fulled) Sutt	Date	July 2	9, 2002

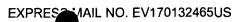
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SUBTOTAL (3)

PATENT TRADEMARK OFFICE

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Application Number	09/685,830		
Filing Date	October 9, 2000		
First Named Inventor	Alexander Gaiger		
Group Art Unit	1644		
Examiner Name	Ronald B. Schwadron		
Attorney Docket Number	210121.465C3		

					
I hereby ap	•	ed IP Law Group PLLC			
OR				00500	
Practition	er(s) nam	ed below:		PATENT TRADEMARK OFFICE	
		Name	Penietrat	ion Number	
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		or agent(s) to prosecute the application in nected therewith.	identified above, and to	transact all business in the Patent and	
Please chan	ge the cor	rrespondence address for the above-ider	ntified application to:		
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Applicar	nt/Inventor	г.			
X Assigne	e of recor	rd of the entire interest. See 37 CFR 3.7	71.		
		r 37 CFR 3.73(b) is enclosed. (Form PTC	•		
	gnee of re on of the ir	cord of the entire interest hereby elect, unventor	under 37 C.F.R. § 3.71	, to prosecute the application to the	
		SIGNATURE of Applica	nt or Assignee of Rec	cord	
Name	Name Kathleen Nora McKereghan				
Signature	Signature ADDL NOV				
Date	2	may 200/2			
		all the inventors or assignees of record if more than one signature is required		or their representative(s) are required.	
X *Total of 1			, see Delow .		

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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STATEMENT UNDER 37 CFR 3.73(b)			
Applicant/Patent Owner: Alexander Gaiger and Martin A. Cheever			
Application No./Patent No.: 09/685,830 Filed/Issue Date: October 9, 2000			
Entitled: COMPOSITIONS AND METHODS FOR WTI SPECIFIC IMMUNOTHERAPY			
Corixa Corporation , A corporation (Name of Assignee) , (Type of Assignee, e.g., corporation, partnership,			
university, government agency, etc.)			
states that it is:			
1. The assignee of the entire right, title, and interest; or			
2. X an assignee of an undivided part interest			
in the patent application/patent identified above by virtue of either:			
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached. OR			
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:			
1. From: To:			
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached			
2. From: To:			
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached			
3. From: To:			
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.			
Additional documents in the chain of title are listed on a supplemental sheet.			
Copies of assignments or other documents in the chain of title are attached.			
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]			
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.			
→ May 200 → Kathleen Nora McKereghan			
Typed or printed name			
Signature			
Senior Vice President, General Counsel			
and Secretary			
Title			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, I,

Name	Post Office Address	
Martin A. Cheever	6210 Southeast 22 nd , Mercer Island, Washington 98040	,

(hereinafter referred to as ASSIGNOR), having a post office address as listed above next to my name, am a joint inventor (with Alexander Gaiger) of an invention entitled

COMPOSITIONS AND METHODS FOR WT1 SPECIFIC IMMUNOTHERAPY

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/685,830, as filed in the United States Patent and Trademark Office on October 9, 2000 ("this application") for which a patent is sought. This application derives benefit from the following application(s):

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/684,361	10/06/00		210121.465C2
09/276,484	03/25/1999		210121.465C1
09/164,223	09/30/1998		210121.465

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues

210121.465C3 1 of 2

and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEES and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment, transfer and sale not been made.

ASSIGNOR hereby authorizes and requests the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

ASSIGNOR agrees to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention, for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

4/17/02	Martin a Chem
Date	Signature of Martin A. Cheever
State of WASHINGTON	SS.
County of KING	

I certify that I know or have satisfactory evidence that Martin A. Cheever is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated <u>Opril 17</u>, 2002

Signature of Notary Public <u>Kelli J. Endresol</u>

My appointment expires 12/20/2003

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